



Prisoner Mothers/Primary Carers and their Children – Appendix A

Risk Register

Child Residence Strategy

31 October 2005

Risk Matrix

Likelihood Ratings	
Descriptor	Definition
Almost certain	Event expected to occur in most circumstances
Likely	Event will probably occur in most circumstances
Possible	Event should occur at some time
Unlikely	Event could occur at some time
Rare	Event may occur only in exceptional circumstances

Descriptor	CONSEQUENCE RATINGS
	Objectives
Catastrophic	Severe adverse impact on: <ul style="list-style-type: none"> ○ Child's health/safety/wellbeing ○ mother, prisoners, visitors, community, staff - health/safety/wellbeing ○ DoJ &/or Government reputation ○ prison operations
Major	Major adverse impact on: <ul style="list-style-type: none"> ○ Child's health/safety/wellbeing ○ mother, prisoners, visitors, community, staff - health/safety/wellbeing ○ DoJ &/or Government reputation ○ prison operations
Moderate	Moderate adverse impact on: <ul style="list-style-type: none"> ○ Child's health/safety/wellbeing ○ mother, prisoners, visitors, community, staff - health/safety/wellbeing ○ DoJ &/or Government reputation ○ prison operations
Minor	Minor adverse impact on: <ul style="list-style-type: none"> ○ Child's health/safety/wellbeing ○ mother, prisoners, visitors, community, staff - health/safety/wellbeing ○ DoJ &/or Government reputation ○ prison operations
Insignificant	Insignificant adverse effects

	<i>Insignificant</i>	<i>Minor</i>	<i>Moderate</i>	<i>Major</i>	<i>Catastrophic</i>
<i>Almost certain</i>	Moderate	High	High	Extreme	Extreme
<i>Likely</i>	Low	Moderate	High	Extreme	Extreme
<i>Possible</i>	Low	Moderate	High	High	Extreme
<i>Unlikely</i>	Low	Low	Moderate	High	High
<i>Rare</i>	Low	Low	Low	Moderate	High

	RISKS	Li	Con	Rate	Strategy & Responsibilities
1	Mother could kill child	UL	CAT	high	Develop policy - DWCS Appropriate assessments before child resides with mother Care Plan has support/monitoring strategies - Superintendent
2	Mother could abuse the child	UL	MA	high	Develop policy - DWCS Appropriate assessments before child resides with mother Care Plan has support/monitoring strategies - Superintendent
3	Mother might not meet the Child's primary needs	PO	MA	high	Appropriate assessment and supervision Care Plan has support/monitoring strategies - Superintendent
4	Mother offers child to another prisoner who may harm the child	UL	MA	high	Appropriate induction and supervision of mother - Identification of prisoners who pose a risk to the child. Restrict child's movement with mother within the prison - Staff monitoring - Superintendent
5	Inappropriate/unsuitable attachment mother/child	PO	MA	high	Appropriate assessment - Ongoing monitoring by staff and report to Child Care Management Committee for ongoing review - Superintendent
6	unsustainable bonding between mother and child	PO	MA	high	Development of appropriate Care Plan - Ongoing monitoring by staff and report to Child Care Management Committee for ongoing review - Superintendent
7	Mother could be negligent to child	LI	MA	ext	Supervision - Ongoing monitoring by staff and report to Child Care Management Committee for ongoing review - Superintendent
8	Mother could give communicable disease to child	AC	MA	ext	Medical advice to mother and staff - Superintendent Medical assessment and provide information to Mother and Staff - Nurse Manager - Ongoing monitoring of child's health - Nurse Manager
9	Mother may use drugs while child is in her care	LI	MA	ext	Assessment - Superintendent Supervision and testing - Superintendent Ongoing monitoring of mother's behaviour by staff - Superintendent Education/induction - Superintendent Drug management plan - Superintendent

	RISKS	Li	Con	Rate	Strategy & Responsibilities
10	Mother may give child drugs illicit	UL	MA	high	Assessment - Superintendent Supervision and testing - Superintendent Staff observation of Child - Superintendent Education/induction - Superintendent Drug management plan - Superintendent
11	Mother may misuse Childs prescription drugs e.g. mother overdoses child	LI	MO	high	Assessment - Superintendent Supervision and testing - Superintendent Education/induction - Superintendent Drug management plan - Superintendent
12	Wrong assessment of mother ability to care for child	UL	MA	high	Develop assessment process DWCS Assessment - Superintendent
13	Wrong assessment of mother's nominated carer	UL	MA	high	Develop assessment process DWCS Assessment - Superintendent
14	Child could be abused by other prisoners	UL	MA	high	Initial and ongoing assessment and supervision - Superintendent
15	Mother does not notify of child illness	PO	MO	high	Monitoring and staff report to Nurse Manager - Superintendent
16	Prisoner could kill child	UL	CAT	high	Initial and ongoing assessment, identification of prisoners who represent a risk and supervision of prisoners and mothers interaction with other prisoners - Superintendent
17	Prisoner could abuse the child	UL	CAT	high	Initial and ongoing assessment, identification of prisoners who represent a risk and supervision of prisoners and mothers interaction with other prisoners - Superintendent
18	Nominated carer (prisoner) might not meet the Childs primary needs	UL	MA	high	Initial and ongoing assessment and monitoring - Superintendent
19	Nominated carer (prisoner) offers child to another prisoner who may harm the child	UL	MA	high	Initial and ongoing assessment, identification of prisoners who represent a risk and supervision of prisoners and mothers interaction with other prisoners - Superintendent
20	Inappropriate/ unsuitable attachment between nominated carer (prisoner)/child	UL	MI	low	
21	Prisoner could be negligent to child	UL	MA	high	Initial and ongoing assessment and monitor - Superintendent

	RISKS	Li	Con	Rate	Strategy & Responsibilities
22	Prisoner could give STD to child	UL	CAT	high	Initial and ongoing assessments, identification of prisoners who represent a risk and supervision of prisoners and mothers interaction with other prisoners - Superintendent
23	Prisoner may use drugs while child is in her care	PO	MO	high	Assessment of mother's drug use past and present - Superintendent Supervision and testing - Superintendent Education/induction - Superintendent Drug management plan - Superintendent Supervision of mother - Superintendent
24	Prisoner may give child drugs illicit	UL	CAT	high	Assessment - Superintendent Supervision and testing prisoners - Superintendent Education/induction - Superintendent Drug management plan - Superintendent Monitoring of child - Superintendent
25	Child injures other prisoners	RA	MI	low	
26	Nominated carer (prisoner) may misuse Childs prescription drugs e.g. prisoner overdoses child	RA	MI	low	
27	Wrong assessment of nominated carers (prisoners) ability to care for child	PO	MO	high	Supervision and review process - Superintendent / DWCS Decision made by committee to ensure diversity of views and debate - Superintendent
28	Prisoner takes child hostage	RA	CAT	high	Assessment of risk posed by other prisoners. Restriction of access to child by prisoners identified as posing a risk to children; or prisoners assessed as violent - Superintendent Restriction of movement of mother and child - Superintendent Care Plan and supervision - Superintendent
29	Violent behaviour of prisoners disturbs child	PO	MO	high	Care Plan restricting access of child to some areas - Superintendent
30	Prisoner provides inappropriate role models for child e.g. drug use / violence	LI	MI	mod	
31	Staff do not recognise duty of care issues	LI	MO	high	Training - Superintendent Development of training package - DWCS
32	Staff not trained in duty of care responsibilities	LI	MO	high	Training - Superintendent Development of training package - DWCS

	RISKS	Li	Con	Rate	Strategy & Responsibilities
33	Staff do not see duty of care for children as their responsibility	LI	MO	high	Training - Superintendent Development of training package - DWCS
34	Some staff not suitable to work with children	AC	MO	high	Staff assessment - Superintendent Initial and ongoing assessment of staff - Superintendent Screening of staff for prior offences - Superintendent
35	Staff may be negligent to child	PO	MO	high	Training and Supervision of staff - Superintendent Screening - Superintendent
36	Staff member may abuse a child (physical, sexual/emotional and neglect)	RA	CAT	high	Training and Supervision - Superintendent Screening - Superintendent
37	Inappropriate staff/child emotional attachment	PO	MO	high	Training and Supervision - Superintendent Policy - DWCS
38	Inappropriate emotional attachment continued outside prison	RA	MO	low	
39	Blurring of staff professional boundaries	PO	MO	high	Training and Supervision – Superintendent Development of Training Package - DWCS
40	Staff accidentally injures child	UL	MO	mod	
41	Staff member disciplines child	PO	MI	mod	
42	Staff member disciplines a child inappropriately	PO	MO	high	Training and Supervision – Superintendent Development of Training Package - DWCS
43	Staff reluctant to intervene when they should do	PO	MO	high	Training and Supervision – Superintendent Development of Training Package - DWCS
44	Child injures staff	RA	MI	low	
45	Staff fail to supervise prisoners inappropriate behaviour	PO	MO	high	Training and Supervision – Superintendent Development of Training Package - DWCS
46	Staff fail to deal with unhygienic activities	LI	MI	mod	

	RISKS	Li	Con	Rate	Strategy & Responsibilities
47	Staff use access to child as a behaviour management tool	PO	MI	mod	
48	Insufficient information provided to mothers on caring for children	UL	MI	low	
49	Child could kill child	RA	CAT	high	Placement monitoring and initial and ongoing assessment of child – Superintendent Care Plan to identify strategies for children identified as possible risk - restriction of access to other children and staff monitoring - Superintendent
50	Child could abuse another child (physical, sexual, emotional)	UL	MA	high	Placement monitoring and initial and ongoing assessments of child – Superintendent Care Plan to identify strategies for children identified as possible risk - restriction of access to other children and staff monitoring - Superintendent
51	Mother puts child in care of another child	UL	MO	mod	
52	Inappropriate/unsuitable attachment child/child	PO	MI	mod	
53	unsustainable bonding between child and child	PO	MI	mod	
54	Child could give communicable disease to child (Non STD's)	LI	MI	mod	
55	Child uses drugs while child is in the area	RA	MO	low	
56	Child may give child drugs illicit	RA	MA	mod	
57	Child may give child prescription drugs	RA	MA	mod	
58	Child is injured in the mothers workplace while mother is working	PO	MO	high	Pending advice from Work Safe - SOPP Assessment of physical environment to ensure where practical the site meets Kidsafe Standards; otherwise development of monitoring strategies with mother, Care Plan and monitoring- Superintendent
59	Child is injured elsewhere while mother is working	PO	MO	high	Assessment of carer Care Plan and monitoring - Superintendent
60	Mother is forced to send child to alternative care whilst attending work/programs	LI	MI	mod	

	RISKS	Li	Con	Rate	Strategy & Responsibilities
61	Allegation of breach of duty of care is made in selection of alternative care	RA	CAT	high	Assessments Care Plan and monitoring of child's wellbeing in alternate care - Superintendent
62	Child is injured in the play centre/playground in the prison	PO	MI	mod	
63	Volunteer could kill child	RA	CAT	high	Screening volunteers and supervision of volunteer - Super
64	Volunteer could abuse child (physical, sexual, emotional)	UL	CAT	high	Screening volunteers and supervision of volunteer - Super
65	Inappropriate/unsuitable attachment volunteer/child	PO	MI	mod	
66	unsustainable bonding between volunteer and child	PO	MI	mod	
67	volunteer could give communicable disease to child (Non STD's)	PO	MI	mod	
68	volunteer uses drugs while child is in the area	RA	MA	mod	
69	Volunteer may give child drugs illicit	RA	MA	mod	
70	Volunteer may give child inappropriate prescription drugs	UL	MA	high	Screening volunteers and supervision of volunteer - Superintendent Volunteer induction - Superintendent
71	Volunteer may abduct child	RA	CAT	high	Screening volunteers and supervision – Superintendent
72	Child abused during social activities (physical, sexual, emotional)	PO	CAT	ext	Supervision - Superintendent
73	Child injured on razor wire	UL	MO	mod	
74	Child attacked by drug dog	RA	MA	mod	
75	child abused during social visits (physical, sexual, emotional)	PO	CAT	ext	Policy and Procedure - Superintendent Supervision - Superintendent

	RISKS	Li	Con	Rate	Strategy & Responsibilities
76	Visitor could use child to traffic drugs	LI	MO	high	Drug management plan - Superintendent Policy and procedures - Superintendent
77	Child is injured during visits	PO	MI	mod	
78	Child becomes seriously ill during visits	PO	MI	mod	
79	Child abandoned after a visit	UL	MI	low	
80	Child gets food poisoning	UL	MI	low	
81	Child exposed to passive smoking by mother	AC	MI	high	Local Policy - Superintendent Care Plan - Superintendent
82	Child exposed to passive smoking by staff	UL	MI	low	
83	Child exposed to passive smoking by volunteers	UL	MI	low	
84	Child exposed to passive smoking by prisoners	AC	MI	high	Local Policy - Superintendent Care Plan - Super
85	Child exposed to passive smoking by visitors	AC	MI	high	Local Policy - Superintendent Care Plan - Superintendent
86	Child injured outside of prison (e.g. car accident)	RA	MO	low	
87	Pregnant mothers and babies exposed to communicable diseases	UL	MO	mod	
88	Child is injured in facility that breach KidSafe standards	AC	MA	ext	KidSafe review - KidSafe Assessments and action plans to mitigate or remove areas that pose a risk - Superintendent
89	Child injured, abused or neglected when sent outside of the prison to carer	UL	MO	mod	Assessment of carer's suitability. Observation, documentation and reporting of injuries
90	Child injured in the prison environment	PO	CAT	ext	Refer to Health Service immediately. Fill out accident forms in case of injury.
91	Child contracts an infectious disease that has serious implications for others	UL	MA	high	Refer to Health Service immediately. Isolate any contacts until diagnosis confirmed or upon advice from medical staff
92	Child dies	RA	CAT	high	Develop a protocol with the coroner and police on how to deal with the situation

	RISKS	Li	Con	Rate	Strategy & Responsibilities
93	Child ill in the prison environment	LI	MI	mod	Refer to Health Services immediately. Health Care Plan to be developed for each residential child.
94	Child not thriving	UL	MI	low	Regular visits by the Child Health nurse and protocol and reporting to Health Services

Abbreviations:

DWCS	Director, Women Custodial Services
SOPP	Superintendent Operations, Public Prisons
Super	Superintendent
AC	Almost Certain
LI	Likely
PO	Possible
UL	Unlikely
RA	Rare
CAT	Catastrophic
MA	Major
MO	Moderate
MI	Minor